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Attorney Docket No.: 124256.401

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :

Donoho et al. :

Serial No. 10/686,462 : Group Art Unit: 3621

Filed: October 15, 2003 : Examiner: Not yet assigned

For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER  
AND INVESTOR RELATIONSHIPS, ACCOUNTS AND  
TRANSACTIONS

Commissioner for Patents  
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P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

## AUTHORIZATION

No fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 50-0436.

Respectfully submitted,

PEPPER HAMILTON LLP



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